## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**SEC Form 4**

**UNIVERSAL STATES SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

**1. Name and Address of Reporting Person**

Fisher Daniel William  
9200 W. 108TH CIRCLE  
WESTMINSTER CO 80021

**2. Issuer Name and Ticker or Trading Symbol**

BALL Corp [ BLI ]

**3. Date of Earliest Transaction (Month/Day/Year)**

11/10/2020

**4. If Amendment, Date of Original Filed (Month/Day/Year)**

01/23/2017

**5. Relationship of Reporting Person(s) to Issuer**

Director  
Officer (give title below) X  
Other (specify below) Sr VP & COO Global Bev Pkg

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### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

<table>
<thead>
<tr>
<th>1. Title of Security (Instr. 3)</th>
<th>2. Transaction Date (Month/Day/Year)</th>
<th>2A. Deemed Execution Date, if any (Month/Day/Year)</th>
<th>3. Transaction Code (Instr. 4)</th>
<th>4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)</th>
<th>5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)</th>
<th>6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)</th>
<th>7. Nature of Indirect Beneficial Ownership (Instr. 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Common Stock</td>
<td>11/10/2020</td>
<td></td>
<td>S</td>
<td>5,000 D</td>
<td>$93.3838(1) 55,511(2) D</td>
<td>D</td>
<td></td>
</tr>
<tr>
<td>Common Stock</td>
<td>11/11/2020</td>
<td>M(3)</td>
<td>3,400 A</td>
<td>$95.52</td>
<td>9,871.4274 I</td>
<td>By Spouse (4)</td>
<td></td>
</tr>
<tr>
<td>Common Stock</td>
<td>11/11/2020</td>
<td>S</td>
<td>3,400 D</td>
<td>$95.52</td>
<td>6,471.4274 I</td>
<td>By Spouse (4)</td>
<td></td>
</tr>
<tr>
<td>Common Stock</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>1,859.761 I</td>
<td>401(k) Plan (5)</td>
<td></td>
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<tr>
<td>Common Stock</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>1,081.322 I</td>
<td>401(k) Plan by Spouse (4)</td>
<td></td>
</tr>
</tbody>
</table>

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

<table>
<thead>
<tr>
<th>1. Title of Derivative Security (Instr. 3)</th>
<th>2. Conversion or Exercise Price of Derivative Security</th>
<th>3. Transaction Date (Month/Day/Year)</th>
<th>3A. Deemed Execution Date, if any (Month/Day/Year)</th>
<th>4. Transaction Code (Instr. 4)</th>
<th>5. Number of Derivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)</th>
<th>6. Date Exercisable and Expiration Date (Month/Day/Year)</th>
<th>7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)</th>
<th>8. Price of Derivative Security (Instr. 5)</th>
<th>9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)</th>
<th>10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)</th>
<th>11. Nature of Indirect Beneficial Ownership (Instr. 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stock Option (Right to Buy)</td>
<td>$33.05</td>
<td>11/11/2020</td>
<td>M(6)</td>
<td>3,400</td>
<td>01/27/2017 01/27/2026 Common Stock 3,400 $0 3,400 I By Spouse (4)</td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Stock Option (Right to Buy)</td>
<td>$37.585</td>
<td>04/26/2018</td>
<td>04/26/2018</td>
<td>6,940 Common Stock 6,940 6,940 I By Spouse (4)</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Stock Option (Right to Buy)</td>
<td>$38.84</td>
<td>01/24/2019</td>
<td>01/24/2020</td>
<td>5,520 Common Stock 5,520 5,520 I By Spouse (4)</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Stock Option (Right to Buy)</td>
<td>$50.78</td>
<td>01/23/2020</td>
<td>01/23/2029</td>
<td>4,130 Common Stock 4,130 4,130 I By Spouse (4)</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Stock Option (Right to Buy)</td>
<td>$72.59</td>
<td>01/29/2021</td>
<td>01/29/2023</td>
<td>3,906 Common Stock 3,906 3,906 I By Spouse (4)</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Restricted Stock Units</td>
<td>(?). (?). Common Stock</td>
<td>(?). (?). Common Stock</td>
<td>(?). (?). Common Stock</td>
<td>(?). (?). Common Stock</td>
<td>(?). (?). Common Stock</td>
<td>(?). (?). Common Stock</td>
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<td>(?). (?). Common Stock</td>
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</tbody>
</table>

**Explanation of Responses:**

1. This transaction was executed in multiple trades at prices ranging from $93.34 to $93.475. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

2. Due to administrative error, the Form 4 filed on July 17, 2020 understated the number of shares beneficially owned after the transaction by 2,470 shares.

3. Common stock acquired upon exercise of Non-Qualified Stock Options (NQOs).

4. The reporting person expressly disclaims beneficial ownership of these securities.
5. Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.

6. Exercise of Non-Qualified Stock Options (NQs).

7. Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.

/s/ Charles E. Baker, attorney-in-fact for Mr. Fisher

Date: 11/12/2020

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).


Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.